

Higher-Order Processes - A Specification Formalism for Interactive Systems

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Our Perspective on Interactive Systems

- Systems are used to analyze and to establish behavior, e.g. ecosystems, economic systems, computing systems, cognitive systems...
- Systems consist of interacting sub-systems, which can exist independently and have similar properties as the whole system but in a simpler form,
- Higher-order processes to facilitate a unified behavioral and structural description of interactive systems.

Higher-Order Processes

Based on **operations** as smallest units of analysis and synthesis:

- no inner structure,
- without interruption,
- described by pre- and postconditions.

Processes are abstractions over operations:

- with inner structure: components and sub-processes,
- describe behavior by sets of alternative sequences of operations (interruption is inherent),
- **components** of a process P:
 - are processes themselves
 - P describes their interaction,
 - constitute the environment of P,
- **sub-processes** of a process P:
 - describe partial behavior of P,
 - introduce operations, which were not in the focus of P.

Process $P = (C_b, C_{add}, Ops, Sub, Beh)$

-- Part of the Intensional Description

C_b : set of basic components,

C_{add} : set of additional components,

Ops : set of operations known to P with $Ops = Ops_b \cup Ops_{add} \cup Ops_n$

Ops_b – set of operations of basic components
(initial focus of P),

Ops_{add} – set of operations of additional components introduced
by sub-processes of P ,

Ops_n – set of operations defined by P ,

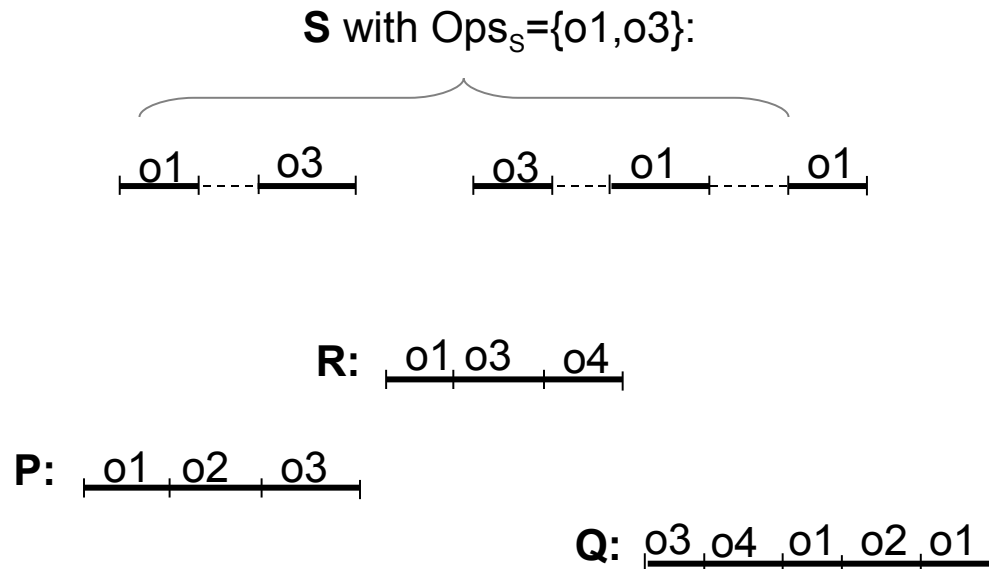
Sub : set of sub-processes of P ,

Beh : set of alternative sequences of operations of P ($Beh \subseteq Ops^*$)

Higher-Order Process -- Extensional Description

Let $P = (C_b, C_{add}, Ops, Sub, Beh)$ be a process.

The extensional description of P comprises each sequence seq of operations with $seq \upharpoonright Ops \in Beh$.



HOPS: A Prototypical Specification Language for Higher-Order Processes

Example specifications of low-level processes:

```
1 PROCESS Bool
2 OPS
3   true,
4   false
5 SUB PROCESSES
6   Bool = T XOR F,
7     T = false; F,
8     F = true; T
9 END PROCESS
```

Bool = (\emptyset , \emptyset ,
{true,false},
{Bool,T,F},
{⟨false,true,false,...⟩,
⟨true,false,true,...⟩ })

```
1 PROCESS Engine
2 OPS   start,
3       stop
4 SUB PROCESSES
5   Engine = (Off ; On)*,
6   On = stop,
7   Off = start
8 END PROCESS
```

Engine = (\emptyset , \emptyset ,
{start,stop},
{Engine,On,Off},
{⟨⟩, ⟨start,stop⟩,
⟨start,stop,start,stop⟩,...})⁶

Initial Behavior of Higher-Level Processes

...implicitly determined by the concurrent composition of basic components

```

1 PROCESS Vehicle_1
2 BASIC COMPS
3   running: Bool,
4   doors: Doors,
5   motor: Engine
6 ADDITIONAL COMPS
7   has_power: Bool
8 END PROCESS

```

Example:

Vehicle_1 = running ||| doors ||| motor

with

$\text{Beh}_{\text{running}} = \{ \langle \text{false}, \text{true}, \text{false}, \dots \rangle, \langle \text{true}, \text{false}, \text{true}, \dots \rangle \}$

$\text{Beh}_{\text{doors}} = \{ \langle \text{open}, \text{close}, \text{open}, \dots \rangle, \langle \text{close}, \text{open}, \text{close}, \dots \rangle \}$

$\text{Beh}_{\text{motor}} = \{ \langle \rangle, \langle \text{start}, \text{stop} \rangle, \langle \text{start}, \text{stop}, \text{start}, \text{stop} \rangle, \dots \}$

An animation run: $\langle \text{doors.close}, \text{running.true}, \text{doors.open}, \dots \rangle$

?- animate('Vehicle_1').

enabled operations:

- 1: Stop
- 2: motor.start
- 3: doors.close
- 4: doors.open
- 5: running.false
- 6: running.true

1 > 3

enabled operations:

- 1: Stop
- 2: motor.start
- 3: running.false
- 4: running.true
- 5: doors.open

2 > 4

enabled operations:

- 1: Stop
- 2: motor.start
- 3: doors.open
- 4: running.false

3 > 3

enabled operations:

- 1: Stop
- 2: motor.start
- 3: running.false
- 4: doors.close

4 > ...

Partial Equations

- Support the description of structures of sub-processes, e.g. hierarchies,
- restrict the initial behavior of the process.

```
// Specification Template
PROCESS PId
BASIC COMPS Comp*
ADDITIONAL COMPS Comp*
OPS Op*
SUB PROCESSES
    PartialEquation*
END PROCESS
```

Example:

```
1 PROCESS Vehicle
2 //...
3 SUB PROCESSES
4     Vehicle = Tram XOR Bus,
5     GeneralBeh = ((start ; stop)* ||| (open_doors ; close_doors)*); park,
6     Tram = GeneralBeh AND TramBeh,
7     Bus = GeneralBeh AND BusBeh,
8     TramBeh = (collector_up ; ring* ; collector_down)*,
9     BusBeh = (motor.start ; motor.stop)* ||| honk*
10 END PROCESS
```

```
; sequence
||| concurrency
* iteration
```


Predefined Operators

restrict the behavior in two ways:

- behavioral operators **compose** valid sequences,
- structural operators **combine** different sets of valid sequences.

Behavioral operators:

;	sequence
	concurrency
[]	alternative
*	iteration
[...]	option

Structural operators:

$$\text{AND: } \text{Beh}_{(E1 \text{ AND } E2)} = \{ s \mid s \in (\text{Ops}_{E1} \cup \text{Ops}_{E2})^* \wedge s \upharpoonright \text{Ops}_{E1} \in \text{Beh}_{E1} \wedge s \upharpoonright \text{Ops}_{E2} \in \text{Beh}_{E2} \}$$

OR, XOR, NOT

Operations Defined by a Process

...restrict the initial behavior

```
1 PROCESS Vehicle
2 BASIC COMPS
3   running: Bool,
4   doors: Doors,
5   motor: Engine
6 ADDITIONAL COMPS
7   has_power: Bool
8 OPS
9   ring,
10  collector_up:
11    <{has_power: F(Bool)},
12    {has_power: T(Bool)}> = <<has_power.true ; motor.start>>,
13  //...
14
15 SUB PROCESSES
16   Vehicle = Tram XOR Bus,
17   GeneralBeh = ((start ; stop)* ||| (open_doors ; close_doors)*); park,
18   Tram = GeneralBeh AND TramBeh,
19   Bus = GeneralBeh AND BusBeh,
20   TramBeh = (collector_up ; ring* ; collector_down)*,
21   BusBeh = (motor.start ; motor.stop)* ||| honk*
22 END PROCESS
```

precondition
(reject some formerly
valid sequences)

post-condition

operationalization part

Sub-processes are used ...for describing states and their manipulation by operations

In the example:

```
...  
ADDITIONAL COMPS  
  has_power: Bool  
OPS  
  collector_up:  
    <{has_power: F(Bool) },  
    {has_power: T(Bool) }> = <<has_power.true ; motor.start>>,  
...
```

```
1 PROCESS Bool  
2 OPS  
3   true,  
4   false  
5 SUB PROCESSES  
6   Bool = T XOR F,  
7     T = false; F,  
8     F = true; T  
9 END PROCESS
```

Sub-processes are used ...for embedding a set of processes in a common context

In the example:

```
PROCESS Vehicle
...
SUB PROCESSES
  Vehicle = Tram XOR Bus,
  ...
```

```
PROCESS MotorPool
BASIC COMPS
  t_1: Tram(Vehicle),
  t_2: Tram(Vehicle),
  b_1: Bus(Vehicle), ...
```

Influences on the Approach

Vygotski: two ways of analysing phenomena



- decomposition into **elements**
- properties of the system explained by associative links between elements

- **atoms** as units of analysis
- can exist alone and can be combined
- own all properties of the whole but at a lower level of organization

Both approaches can be found in HCI:

e.g. Seeheim model

e.g. Interactors

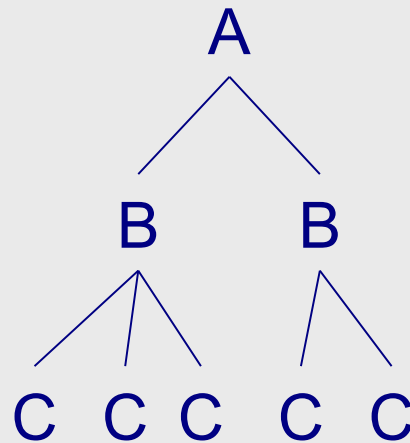
Interactors (Barnard et al., 2000)

- refer to things that interact
- behave over time
- behavior can be described in terms of lower order interactors of which they are composed

higher-order
assembly

basic units
of meaning

constituent
interactors



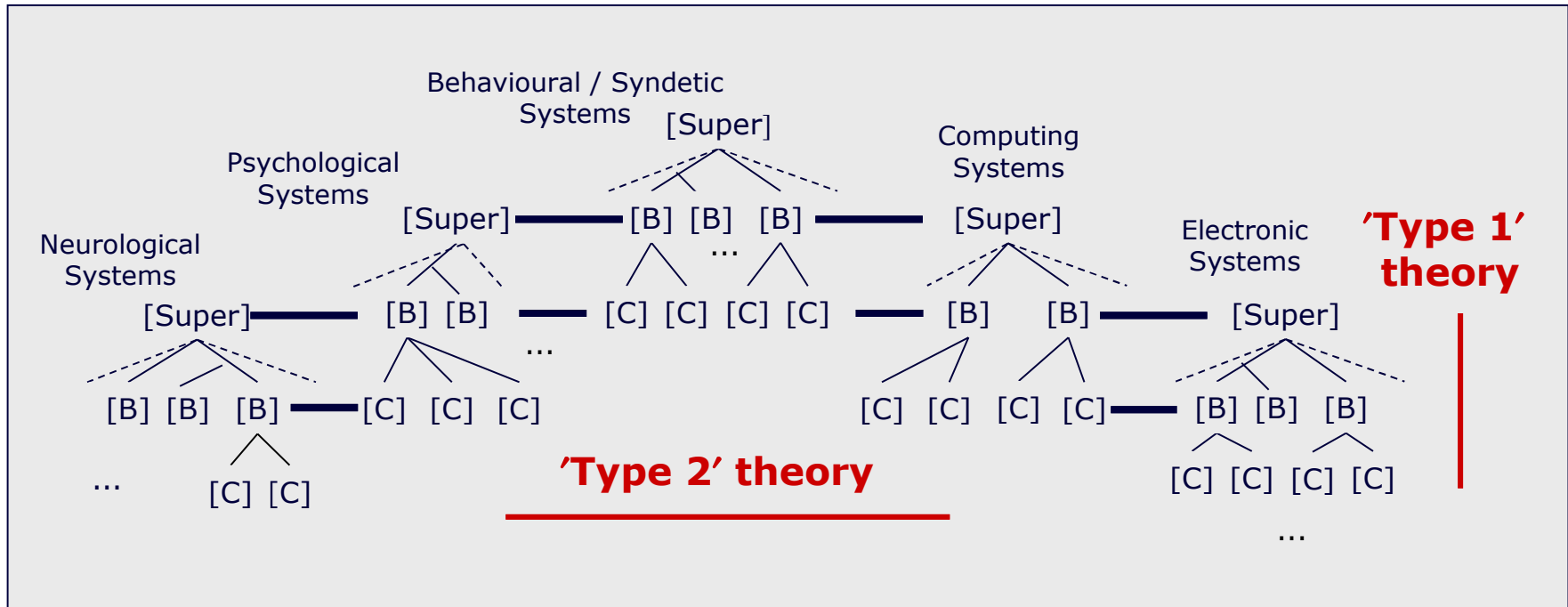
constraints given by
other sub-systems

constraints originating
in constituents

Systems are described as hierarchically organized interactors.

Generic Representations of Systems of Interactors

(Barnard et al., 2000)



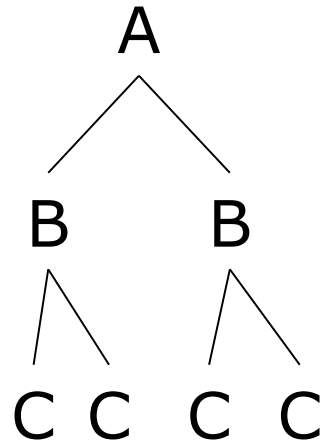
Stronger integration of HCI theories of different sub-domains.

interactor

higher-order
assembly

basic units
of meaning

constituent
interactors



higher-order process

process

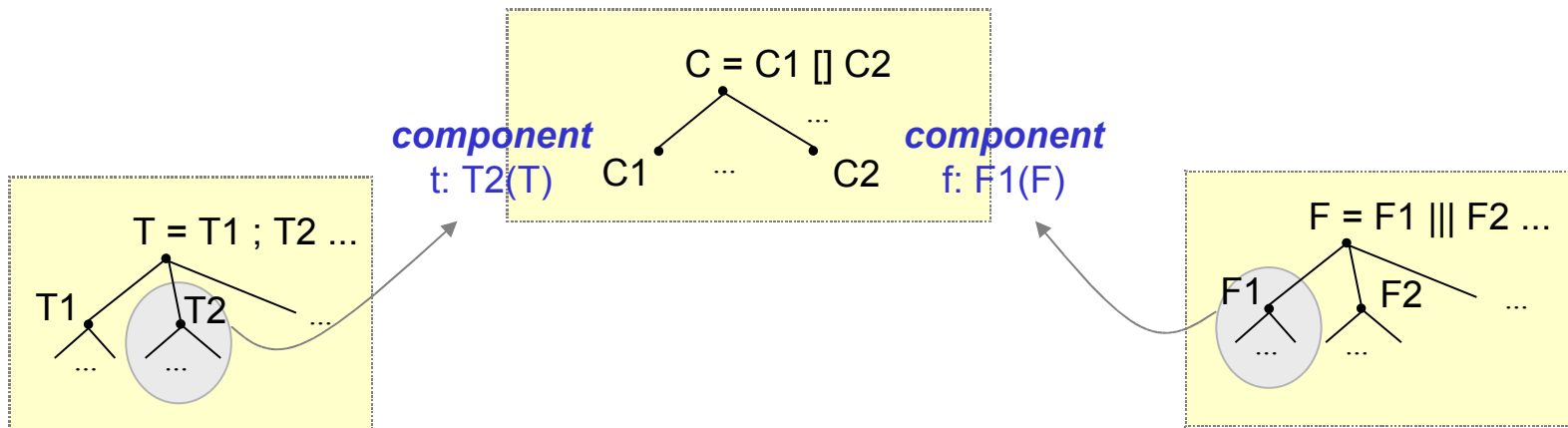
purpose in the context
of other processes

sub-processes

patterns for components
of other processes

operations

known to the process



HOPS: A Second Example Specification

```
1 PROCESS Entry_1
2 OPS
3   init,
4   edit,
5   finish
6 SUB PROCESSES
7   Entry_1 = init ; edit* ; finish
8 END PROCESS
```

```
1 PROCESS Space_1
2
3 BASIC COMPS
4   visible: Bool,
5   icon: Bool
6 OPS
7   init = << visible.false ; icon.false >>,
8   add,
9   remove,
10  finish,
11  show: <{visible: F(Bool)}, {}> = visible.true,
12  hide: <{visible: T(Bool)}, {}> = visible.false,
13  iconify: <{visible: T(Bool), icon: F(Bool)}, {}> = icon.true,
14  deiconify: <{icon: T(Bool), visible: T(Bool)}, {}> = icon.false,
15  action: <{visible: T(Bool), icon: F(Bool)}, {}>
16
17 SUB PROCESSES
18   Space_1 = init
19   ; (add [] remove [] show [] hide [] iconify [] deiconify [] action)*
20   ; finish
21 END PROCESS
```

Addition of „Foreign Code“ to the Specification

- mapping of HOPS-processes to Java-objects
- `space_init`, `space_show`, `space_hide`, `space_iconify`, ... are associated with appropriate methods

```
1 PROCESS Space
2 USES "widgets_java"
3
4 BASIC COMPS
5     visible: Bool,
6     icon: Bool
7 OPS
8     init
9     = <<visible.false ; icon.false ; fCall([this],space_init(this))>>,
10    add,
11    remove,
12    finish = <<fCall([this],space_finish(this))>>,
13    show: <{visible: F(Bool)}, {}>
14    = <<visible.true ; fCall([this],space_show(this))>>,
15    hide: <{visible: T(Bool)}, {}>
16    = <<visible.false ; fCall([this],space_hide(this))>>,
17    iconify: <{visible: T(Bool), icon: F(Bool)}, {}>
18    = <<icon.true ; fCall([this],space_iconify(this))>>,
19    deiconify: <{icon: T(Bool), visible: T(Bool)}, {}>
20    = <<icon.false ; fCall([this],space_deiconify(this))>>,
21    action: <{visible: T(Bool), icon: F(Bool)}, {}>
22
23 SUB PROCESSES
24     Space = init ;
25     (add [] remove [] show [] hide [] iconify [] deiconify [] action)*
26     ; finish
27 END PROCESS
```

An Interactive Animation of Process „Space“:

⟨init, add, show, iconify, hide, finish⟩

?- animate('Space').

enabled operations:

1: init

1 > 1

enabled operations:

1: finish

2: add

3: remove

4: show

2 > 2

enabled operations:

1: finish

2: add

3: remove

4: show

3 > 4



enabled operations:

1: finish

2: add

3: remove

4: hide

5: iconify

6: action

4 > 5

enabled operations:

1: finish

2: add

3: remove

4: hide

5: deiconify

5 > 4

enabled operations:

1: finish

2: add

3: remove

4: show

6 > 1

enabled operations:

1: Stop

7 > h

history:

1 - init

2 - add

3 - show

4 - iconify

5 - hide

6 - finish

Interaction between two Spaces and one Entry

Process „Pres“ knows

- all operations from e: **init, edit, finish,**
- from c1, c2: **init, finish, add, remove, action**
...but not: **show, hide, iconify, deiconify**

```
1 PROCESS Pres
2 USES "widgets_java"
3
4 BASIC COMPS
5     e: Entry,
6     c1: Space,
7     c2: Space,
8     in_c1: Bool
9
10 OPS
11     init = <<e.init ; c1.init ; c2.init>>,
12     add_to_c1
13         = <<c1.add ; in_c1.true ; fCall([c1,e],space_add(c1,e))>>,
14     move_to_c1: <{in_c1:F(Bool)}, {in_c1:T(Bool)}>
15         = <<c2.remove;c1.add;in_c1.true; fCall([c2,e],space_remove(c2,e))
16             ; fCall([c1,e],space_add(c1,e))>>,
17     move_to_c2: <{in_c1:T(Bool)}, {in_c1:F(Bool)}>
18         = <<c1.remove; c2.add;in_c1.false; fCall([c1,e],space_remove(c1,e))
19             ; fCall([c2,e],space_add(c2,e))>>,
20     finish = <<e.finish ; c1.finish ; c2.finish>>,
21     edit_in_c1: <{in_c1:T(Bool)}, {}> = <<e.edit ; c1.action>>,
22     edit_in_c2: <{in_c1:F(Bool)}, {}> = <<e.edit ; c2.action>>
23
24 SUB PROCESSES
25     Pres = init ; add_to_c1
26           ; (move_to_c1 [] move_to_c2 [] edit_in_c1 [] edit_in_c2)*
27           ; finish
28 END PROCESS
```

Animation of process „Pres“

enabled operations:

1: init

1 > 1

enabled operations :

1: add_to_c1

2: c1.show

3: c2.show

2 > 1

enabled operations :

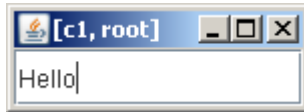
1: finish

2: move_to_c2

3: c2.show

4: c1.show

3 > 4



enabled operations :

1: finish

2: move_to_c2

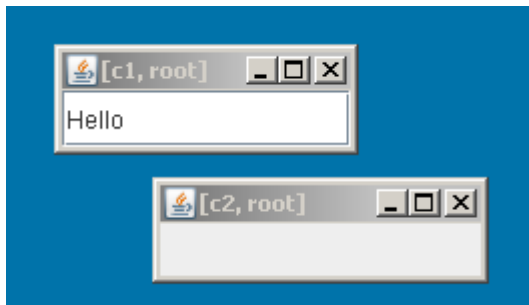
3: edit_in_c1

4: c2.show

5: c1.hide

6: c1.iconify

4 > 4



enabled operations :

1: finish

2: move_to_c2

3: edit_in_c1

4: c1.hide

5: c1.iconify

6: c2.hide

7: c2.iconify

5 > 4



enabled operations :

1: finish

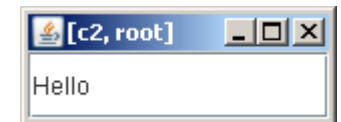
2: move_to_c2

3: c2.hide

4: c2.iconify

5: c1.show

6 > 2



enabled operations :

1: finish

2: move_to_c1

3: edit_in_c2

4: c1.show

5: c2.hide

6: c2.iconify

Higher-Order Processes: Summary

- P. Wegner: „Interactive systems interact with an external environment they cannot control.“
 - Processes do not fully control the specific behavior of their environment (components),
- Interaction paradigm supports nonmonotonicity:
 - decomposition may create interactive unpredictable systems,
 - composition may produce noninteractive algorithms,
- Interaction paradigm supports openness
 - fluid boundary between operations and processes
- Unified behavioral and structural description of interactive systems